

Attorney Docket No. 03774/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Akira FUKUSHIMA et al

Serial No. : 10/789,160

Confirm. No.: 8989

Filed : February 27, 2004

For : CRAWLER FRAME FOR
CONSTRUCTION MACHINE

Art Unit : 3671

Examiner : Thomas A. Beach

Customer No.: 01933

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Submitted herewith are the following:

- (1) Copy of a European Search Report dated July 6, 2004, issued in the counterpart European application;
- (2) Copies of cited publications (except for previously cited documents, see below); and
- (3) Form PTO/SB/08A.

The European Search Report is in English, thereby satisfying the requirements for a concise explanation of relevance for any non-English language publications cited therein (MPEP 609.04(a)III).

This paper is being submitted via
EFS-Web on January 25, 2007

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not already paid, authorization to charge the extension fee to Account No. 06-1378. In addition, authorization is hereby given to charge any fees for which payment has not been submitted, or to credit any overpayments, to Account No. 06-1378.

WO 03/042022, JP 2000-230252, JP 8-072615 and JP 11-093209, cited in the EP Search Report, were previously submitted to the USPTO in the IDS filed February 27, 2004, and were considered and made of record by the U.S. Examiner. See the initialed form PTO/SB/08A attached to the Office Action mailed April 22, 2005.

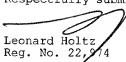
USP 5,823,279, cited in the EP Search Report, was cited by the U.S. Examiner in the Notice of References Cited (PTO-892) attached to the Office Action mailed April 22, 2005. JP 5-319320 (which is a family member of USP 5,823,279) was also cited by the U.S. Examiner in said Office Action, mailed April 22, 2005 .

U.S. 2004/0107607 is a family member of EP 1 426 497, which was cited in the EP Search Report. U.S. 2004/0107607 is identified on the attached form PTO/SB/08A.

It is requested that an initialed copy of the attached Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Consideration of the present IDS and the passing of the application to issue is respectfully solicited.

Respectfully submitted,



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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/789,160	
			Filing Date	February 27, 2004	
			First Named Inventor	A. FUKUSHIMA et al	
			Group Art Unit	3671	
			Examiner Name	BEACH, THOMAS A.	
Sheet	1	of	1	Attorney Docket Number	03774/LH

U.S. PATENT DOCUMENTS

Exam. Initia ¹	Cite No ²	Document Number	Kind Code ³	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion
		3,894,597 2004/0107607	A1	WAGNER FUKUSHIMA	07-15-1975 06-10-2004	

FOREIGN PATENT DOCUMENTS

Exam. Initia ¹	Cite No ²	Offic ³	Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶
		JP	11-278321	A	SHIN CATERPILLAR MISTUBISHI LTD. ET AL	10-12-1999		*
		EP	1 426 497	A	KOMATSU MFG CO. LTD. ET AL	06-09-2004		
			*English Language Abstract attached.					
Examiner Signature					Date Considered			

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ³ See kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Place a check here if English translation is attached.

DATE MAILED: January 25, 2007